

Dkt. 01212

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ANIL BAKAYA et al

PCT

Serial No.: 09/926,958 ⁵⁹⁸

Filed: November 26, 2001

For: METHOD AND DATA PROCESS SYSTEM FOR ANALYSING AND
TIMING BUY/SELL TIPS AS AN AID TO INVESTMENT
DECISION MAKING ON A TRADEABLE ASSET OR SECURITY

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

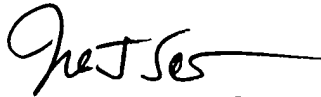
Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notification of Missing
Requirements under 35 USC 371 Requirements Under 35 U.S.C. 371
in the United States Designated/Elected Office (DO/EO/US),
mailed December 28, 2001, Applicants submit herewith an
inventors' Declaration.

A copy of the Notice is attached.

Respectfully submitted,



Ira J. Schultz
Registration No. 28666



UNITED STATES PATENT AND TRADEMARK OFFICE

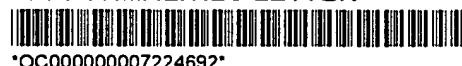
Commissioner for Patents, Box 401
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/926,598 | Anil Bakaya | 01212 |

23338
DENNISON, SCHEINER SCHULTZ & WAKEMAN
612 CRYSTAL SQUARE FOUR
1745 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VA 22202-3417

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/AU00/00551 | |
| I.A. FILING DATE | PRIORITY DATE |
| 05/25/2000 | 05/27/1999 |

CONFIRMATION NO. 6678
371 FORMALITIES LETTER

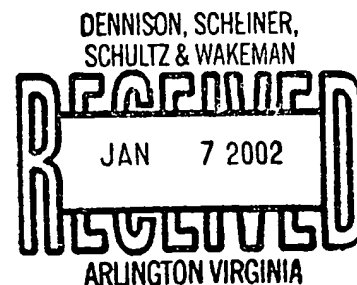


Date Mailed: 12/28/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Small Entity Statement



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/926,598 | PCT/AU00/00551 | 01212 |